

OAK RIDGE R2A2 MAPPING

Organization Partnerships and Program Development, M-6

<i>Roles & Responsibilities</i> (per ORO Manual 110)
1. Manage the Technology Transfer Program for ORO (including CRADAs and WFOs) and ensure integration of the program with program line managers to effectively carry out the technology transfer objectives of the Department.
a. Overall Technology Transfer Responsibilities
<ul style="list-style-type: none"> ◆ Negotiate, authorize, and administer technology transfer agreements ◆ Execute and approve the policies, plans, procedures, and programs for ORO technology transfer ◆ Monitor, review and appraise ORO contractor technology partnering activities ◆ Negotiate contractor technology partnering goals and measures ◆ Review legislation, DOE orders, policy guidance and executive orders, and issue implementing guidance to ORO/NNSA contractors ◆ Issue correspondence and certify funding authorizations with Federal sponsors ◆ Provide centralized administrative support by maintaining the automated Reimbursable Activity Processing System (RAPS) ◆ Direct the RAPS system to ensure continued compliance with DOE policies and to improve the cost effectiveness of the system ◆ Review and recommend proposed appropriation levels for technology transfer programs ◆ Review funding progress against allotments and justify changes as necessary

What Does this organization do for the department?
Is it in **direct or indirect** support of Departmental missions?

<i>Accountabilities</i> (Internal and External)
External <ul style="list-style-type: none"> ◆ HQ/Office of Management, Budget, and Evaluation (ME) ◆ HQ Office of Science and Technology Policy ◆ HQ Program Secretarial Officers (NE, EE, WT, SC, CI, FE) ◆ HQ Office of Intelligence, Office of International Science and Technology Cooperation, Office of Science, Office of Biological and Environmental Research ◆ NNSA Y-12 Site Office ◆ DOE Inspector General ◆ Other Federal Agencies ◆ Local Governments (e.g., Oak Ridge City, Knox Co., Roane Co., Anderson Co.) ◆ Community Reuse Organization of East Tennessee ◆ State Governments ◆ GAO ◆ Economic Development Agencies
Internal <ul style="list-style-type: none"> ◆ Manager, Oak Ridge Operations Office ◆ ORO Organization – Advise on Technology Transfer policy issues for multiple offices within ORO (i.e., ORNL Site Office, Planning and Budget Division, Procurement and Contracts, Assets Utilization, EM, TJNAF)
Accountability ensured by self assessments, external audits, performance measures, and customer surveys.

To whom is this organization accountable to?
How is accountability assured? External Review

<i>Authorities Delegated</i>	
<i>Type</i>	<i>From</i>
Directives <ul style="list-style-type: none"> ◆ DOE O 481.1B, “WFO” ◆ DOE M 481.1A, Change 1, “Reimbursable Work for Non-Federal Sponsors Process Manual” ◆ DOE O 482.1, “DOE Facilities Technology Partnering Programs” ◆ DOE O 483.1, “DOE CRADA” ◆ DOE O 483.1-1, “DOE CRADA Manual” ◆ DOE P 443.1, “Policy on the Protection of Human Subjects” ◆ DOE O 443.1, “Protection on Human Subjects” ◆ DOE 243.X “Records Management” ◆ DOE 2110.1A, “ Pricing of Departmental Materials and Services ◆ DOE M 475.1-1, Identifying Classified Information 	<ul style="list-style-type: none"> ◆ President ◆ Congress ◆ FAR ◆ DEAR ◆ DOE HQ Programs & Staff ◆ ORO Manager ◆ NNSA Y-12 Site Office Manager

What authorities are vested in the organization that allows these responsibilities to be carried out?
Appraisal?

TYPES of DELEGATIONS:

Letter from DOE/HQ (i.e., Secretary, Deputy Secretary, Assistant Secretary, etc.)
Federal Law (29CFR1910, 10CFR830, etc.)
DOE Directives (i.e., DOE Order 521.1A)
Interagency Agreements (i.e., State, EPA, NRC, etc.)
Include implied Authorities

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<i>Roles & Responsibilities</i> (per ORO Manual 110)
Overall Technology Transfer Responsibilities (continued) <ul style="list-style-type: none"> ◆ Evaluate and prepare responses to formal Congressional inquiries, GAO and IG audits and investigations; formal inquiries/complaints from other Federal agencies; and public requests ◆ Maintain official DOE technology partnering files (except TJNAF) b. Manage and administer Work for Others (WFO) agreements with other Federal, state, and local agencies and private organizations for the performance of work at ORO facilities. <ul style="list-style-type: none"> ◆ Negotiate and sign ORO MOU's with other Federal agencies ◆ Review, secure DOE HQ approvals as needed, and approve all contractor WFO proposals ◆ Send approved WFO proposals to other Federal Agencies ◆ Receive and process funding authorizations/deobligations from WFO sponsors ◆ Sign, certify, and accept funds for ORO/NNSA facilities (except TJNAF) ◆ Interact with WFO sponsors to convey guidance and resolve issues ◆ Review and approve all ORO contractor WFO contracts (except TJNAF) ◆ Review and approve all Material Service and Order Forms which exceed ORO contractor delegated funding authorizations (except TJNAF)

<i>Accountabilities</i> (Internal and External)

<i>Authorities Delegated</i>	
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Directives (continued) <ul style="list-style-type: none"> ◆ DOE O 241.1, "Scientific and Technical Information Management" ◆ DOE G 241.1-1, "Guide to the Management of Scientific and Technical Information" Federal Law <ul style="list-style-type: none"> ◆ Atomic Energy Act of 1954 ◆ Economy Act of 1932 ◆ Stevenson-Wydler Technology Innovation Act of 1980 ◆ Bayh-Dole Act of 1980 ◆ National Competitiveness Technology Transfer Act of 1989 ◆ National Technology Transfer and Advancement Act of 1995 ◆ National Defense Authorization Act of 1999 ◆ Energy Reorganization Act of 1974, Section 205, 42 U.S.C. 5845 	

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<i>Roles & Responsibilities</i> (per ORO Manual 110)	<i>Accountabilities</i> (Internal and External)	<i>Authorities Delegated</i>				
<p>Work for Others (continued)</p> <ul style="list-style-type: none"> ◆ Review, advise, and support in negotiation, as requested, on contracts between private, state, and local agencies, foreign organizations, and the contractor for proposed work at Y-12 and TJNAF ◆ Interact frequently with contractors' staff to explore/develop alternative terms and conditions for contracts ◆ Communicate with non-federal entities to negotiate and clarify contractual terms and conditions ◆ Review and follow up on customer satisfaction surveys and direct inquiries ◆ Review and analyze ORNL, TJNAF, and ORISE WFO programs. Approve ORISE WFO program. Recommend approval to the Office of Science for ORNL and TJNAF ◆ Provide guidance to contractors, collect, evaluate and compile input, and submit to DOE ME a consolidated annual report of WFO funding ◆ Provide support to NNSA Y-12 Area Office in preparation of the annual report for BWXT Y-12 ◆ Develop and negotiate HQ Oversight of the field measures. Evaluate results and submit an ORO self assessment to DOE HQ ◆ Interact with contractors and other Federal agencies in resolution of funding issues such as collecting unpaid invoices and overruns 		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%; text-align: center;"><i>Type</i></th> <th style="width: 50%; text-align: center;"><i>From</i></th> </tr> </thead> <tbody> <tr> <td colspan="2" style="padding: 5px;"> <p>Federal Law (continued)</p> <ul style="list-style-type: none"> ◆ Intergovernmental Cooperation Act of 1968, 31 U.S.C. 6505 <p>Statutes and Policy</p> <ul style="list-style-type: none"> ◆ Enabling legislation for AEC, ERDA, and DOE ◆ National Defense Authorization Act of 1993, Sections 3161 and 3163 ◆ DEAR 970, Technology Transfer Mission ◆ 10 CFR 600 Financial Assistance Rules ◆ 15 USC 3701-3716, Chapter 63, Technology Innovation ◆ Federal Acquisition Regulations (e.g., FAR 17.5 Interagency Acquisitions Under the Economy Act) </td> </tr> </tbody> </table>	<i>Type</i>	<i>From</i>	<p>Federal Law (continued)</p> <ul style="list-style-type: none"> ◆ Intergovernmental Cooperation Act of 1968, 31 U.S.C. 6505 <p>Statutes and Policy</p> <ul style="list-style-type: none"> ◆ Enabling legislation for AEC, ERDA, and DOE ◆ National Defense Authorization Act of 1993, Sections 3161 and 3163 ◆ DEAR 970, Technology Transfer Mission ◆ 10 CFR 600 Financial Assistance Rules ◆ 15 USC 3701-3716, Chapter 63, Technology Innovation ◆ Federal Acquisition Regulations (e.g., FAR 17.5 Interagency Acquisitions Under the Economy Act) 	
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<p>c. Manage and oversee the CRADA program and provides interface with the contractors on policy and administrative issues.</p> <ul style="list-style-type: none"> ◆ Review and concur in all Joint Work Statements and CRADA contracts, ensure compliance with DOE policies, laws and authorities, and coordinate ORO staff concurrence prior to approval ◆ Interact with ORO contractors to recommend necessary changes to JWS's and CRADA contracts ◆ Support DOE HQ in the development of new model CRADAs ◆ Act as lead Operations Office for Oak Ridge contractor multi-lab CRADAs ◆ Obtain DOE HQ program concurrence on Energy Efficiency and other program-funded CRADA proposals ◆ Maintain official DOE copies of CRADA documents ◆ Ensure the availability of timely and accurate CRADA data ◆ Conduct reviews and appraisals of contractor CRADA activities ◆ Notify appropriate HQ elements in accordance with notification criteria in DOE M 483.1-1 ◆ Establish performance goals and measures to assess contractor performance and effectiveness of local CRADA processes ◆ Develop improvements to the CRADA process to increase efficiency 		<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>Statutes and Policy (continued)</p> <ul style="list-style-type: none"> ◆ Delegation of Sales Authority from ORO Manager ◆ DOE Policy Guidance Memos ◆ Statement of Principles Outlining the Relationship between the U.S. DOE and Local Governments, signed by the Secretary on March 5, 1998 ◆ Draft Policy and Planning Guidance for Community Transition Activities, June 2001 ◆ FAR 17.5, Interagency Acquisitions Under the Economy Act ◆ FAR 35.017, Federally Funded Research and Development Centers ◆ Title 10 CFR Part 745, Protection of Human Subjects </div> <div style="width: 35%;"></div> </div>
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<p>2. Establish strategic partnerships with other Federal agencies, state governments, universities, and private industry:</p> <ul style="list-style-type: none"> ◆ Interact with other Federal agencies, state and local governments, national laboratories, private sector, academia, and foreign governments/entities to identify potential collaborations for new programs using specialized Oak Ridge technologies and equipment ◆ Team with ORNL, NNSA Y-12, and ORAU to identify strategic partners and initiatives ◆ Identify program or business opportunities which are consistent with ORO core competencies, analyze issues and options, select targets, and facilitate the development and integration of new programs ◆ Negotiate, authorize, and administer memoranda of cooperation ◆ Develop, approve, and implement new contract and CRADA model agreements ◆ Coordinates within ORO and the contractors the transfer of functions and assets owned or controlled by the Department (exclusive of reindustrialization) and functions traditionally performed by management and operating contractors to the private sector; and integration of those privatization initiatives having regional economic impact 		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> <p>Statutes and Policy (continued)</p> <ul style="list-style-type: none"> ◆ June 27, 2002, delegation of authority from Antoinette Joseph regarding approval of the WFO program at ORISE </div> <p>Other</p> <ul style="list-style-type: none"> ◆ Executive Orders (e.g., E.O. 12591 dated April 10, 1987, E.O. 12333, "United States Intelligence Activities") ◆ ORO/Y-12 Service Agreement ◆ DOE Accounting Handbook, dated September 8, 1998 ◆ DOE Procedures for Intelligence Activities ◆ Designation of Contracting Activity P&C memo, dated July 22, 1999
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<p>3. Implement DOE's Worker and Community Transition Program:</p> <ul style="list-style-type: none"> ◆ Manage and administers community transition grants associated with regional economic development organizations ◆ Review and submit quarterly community transition grant reports ◆ Approve Community Reuse Organization grant proposals <p>4. Lead Community Outreach Activities:</p> <ul style="list-style-type: none"> ◆ Maintain frequent contacts and relationships with Congressional, state and local government officials, and staff ◆ Manage and administer Memoranda of Cooperation with state and local governments, universities, and other regional organizations ◆ Establish and maintain partnerships with state and regional economic development entities ◆ Represent DOE as Institutional Liaison to the Tennessee Valley Corridor Summit Inc. Board ◆ Prepare guidance to contractors, collect and compile input, manage contract with the University of Tennessee, and publish a report of DOE's Economic Impact on the State of Tennessee 			
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<i>Roles & Responsibilities</i> (per ORO Manual 110)
<p>5. Serve as the ORO Point of Contact for DOE HQ Technology Partnership Program:</p> <ul style="list-style-type: none"> ◆ Lead DOE's Technology Partnerships Working Group for FY 2003 ◆ Collect and compile DOE-wide technology partnership data for the Department of Commerce's annual report to Congress ◆ Participate in monthly videoconferences of the DOE HQ Technology Transfer Working Group ◆ Respond to inquiries on technology partnership issues for DOE HQ policy and program offices <p>6. Other</p> <p>Ombudsman for task and delivery of contracts</p>

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